Albendazole treatment of HIV-1 and helminth co-infection: a randomized, double-blind, placebo-controlled trial

Judd L. Walson\textsuperscript{a,d}, Phelgona A. Otieno\textsuperscript{a}, Margaret Mbuchi\textsuperscript{a}, Barbra A. Richardson\textsuperscript{e}, Barbara Lohman-Payne\textsuperscript{c,d}, Steve Wanyee Macharia\textsuperscript{a}, Julie Overbaugh\textsuperscript{g}, James Berkley\textsuperscript{b,h}, Eduard J. Sanders\textsuperscript{b,h}, Michael H. Chung\textsuperscript{d} and Grace C. John-Stewart\textsuperscript{d,f}

\section*{Objective:} Several co-infections have been shown to impact the progression of HIV-1 infection. We sought to determine if treatment of helminth co-infection in HIV-1-infected adults impacted markers of HIV-1 disease progression.

\section*{Design:} To date, there have been no randomized trials to examine the effects of soil-transmitted helminth eradication on markers of HIV-1 progression.

\section*{Methods:} A randomized, double-blind, placebo-controlled trial of albendazole (400 mg daily for 3 days) in antiretroviral-naive HIV-1-infected adults (CD4 cell count >200 cells/\mu{l}) with soil-transmitted helminth infection was conducted at 10 sites in Kenya (Clinical Trials.gov NCT00130910). CD4 and plasma HIV-1 RNA levels at 12 weeks following randomization were compared in the trial arms using linear regression, adjusting for baseline values.

\section*{Results:} Of 1551 HIV-1-infected individuals screened for helminth infection, 299 were helminth infected. Two hundred and thirty-four adults were enrolled and underwent randomization and 208 individuals were included in intent-to-treat analyses. Mean CD4 cell count was 557 cells/\mu{l} and mean plasma viral load was 4.75 log\textsubscript{10} copies/ml at enrollment. Albendazole therapy resulted in significantly higher CD4 cell counts among individuals with \textit{Ascaris lumbricoides} infection after 12 weeks of follow-up (+109 cells/\mu{l}; 95\% confidence interval +38.9 to +179.0, \(P=0.003\)) and a trend for 0.54 log\textsubscript{10} lower HIV-1 RNA levels (\(P=0.09\)). These effects were not seen with treatment of other species of soil-transmitted helminths.

\section*{Conclusion:} Treatment of \textit{A. lumbricoides} with albendazole in HIV-1-coinfected adults resulted in significantly increased CD4 cell counts during 3-month follow-up. Given the high prevalence of \textit{A. lumbricoides} infection worldwide, deworming may be an important potential strategy to delay HIV-1 progression.

Keywords: co-infection, helminth, HIV-1 progression

\textsuperscript{a}Centre for Clinical Research, \textsuperscript{b}Centre for Geographic Medicine Research Coast, Kenya Medical Research Institute, \textsuperscript{c}Department of Pediatrics, University of Nairobi, Nairobi, Kenya, \textsuperscript{d}Department of Medicine, \textsuperscript{e}Department of Biostatistics, \textsuperscript{f}Department of Epidemiology, University of Washington, \textsuperscript{g}Human Biology Division, Fred Hutchinson Cancer Research Center, Seattle, Washington, USA, and \textsuperscript{h}Centre for Clinical Vaccinology and Tropical Medicine, University of Oxford, Headington, UK.

Correspondence to Dr Judd L. Walson, 325 Ninth Ave, Box 359909, Seattle, WA 98104, USA.
Tel: +1 206 543 4278; fax: +1 206 543 4818; e-mail: walson@u.washington.edu
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Introduction

The majority of HIV-1-infected individuals in settings with high HIV-1 seroprevalence do not yet meet criteria for initiation of antiretroviral therapy (ART). Interventions to delay HIV-1 progression are needed to maximize the health of these individuals and delay the time until initiation of antiretroviral treatment. There is evidence from observational studies that treatment of some co-infections may delay HIV-1 disease progression.

Soil-transmitted helminths are among the most prevalent infections of humans worldwide. More than 2 billion people are estimated to be infected with soil-transmitted helminths and the geographical distribution of these infections overlaps considerably with regions of high HIV-1 seroprevalence [1,2]. Helminth co-infection has been hypothesized to be one factor driving the HIV-1 epidemic in Africa [3,4]. A randomized clinical trial of schistosomiasis treatment in 130 HIV-1-infected individuals found that treatment was associated with significantly lower rise in plasma HIV-1 RNA [5]. Although suggestive of potential associations between helminth infection and HIV-1 progression, the pathogenesis of schistosomiasis, and consequently its interactions with HIV-1, may differ from other helminths. Several observational studies have yielded conflicting data regarding the association between soil-transmitted helminth treatment and HIV-1 progression [6]. To date, no randomized clinical trials have been conducted to determine the effect of treatment of soil-transmitted helminths on HIV-1 progression.

If helminth eradication can delay HIV-1 progression, it is a simple intervention that could be widely and rapidly implemented, with significant public health impact. We conducted a randomized, double-blind, placebo-controlled trial to determine whether albendazole treatment in HIV-1 and helminth coinfected individuals impacts markers of HIV-1 disease progression, specifically CD4 cell count and plasma HIV-1 RNA.

Methods

Study design

Antiretroviral-naive HIV-1 seropositive adults with evidence of co-infection with albendazole-treatable soil-transmitted helminths were eligible for enrollment. Eligible individuals were randomized to receive either 400 mg albendazole or placebo once daily for 3 consecutive days and were followed up after 12 weeks. The study was approved by the Kenya Medical Research Institute Ethical Review Board and the University of Washington Institutional Review Board. All participants provided written informed consent. The study was registered under Clinical Trials Registration identifier: NCT00130910.

Participants

Study participants were recruited from existing HIV-1 Care and Treatment programs at 10 sites geographically dispersed throughout Kenya using a mobile study team. Study sites were the Kibera AMREF/CDC Clinic, Homa Bay District Hospital, Kerugoya District Hospital, Coptic Hope Clinic (Nairobi), Mbagathi District Hospital, Thika District Hospital, Kisumu District Hospital, Kisii District Hospital, Machakos District Hospital and the Kilifi District Hospital. Participants were eligible for screening if they were HIV-1 seropositive, at least 18 years of age, not pregnant and were not eligible for initiation of ART based on World Health Organization (WHO) guidelines (CD4 cell count <200 cells/μl, any stage 4 and some stage 3 disease) [7]. Exclusion criteria also included having ever used antiretroviral drugs, having taken medicine for helminth infection in the preceding 6 months, evidence of active tuberculosis (TB) treatment in the past 3 months and clinical signs of severe anemia.

Trial procedures

Potentially eligible study participants were identified at each site by clinic staff and referred for helminth screening. At screening visits, each participant was informed of the aims and procedures of the study and assessed for eligibility. Female participants were asked to provide a fresh urine sample for β-human chorionic gonadotropin (HCG) testing. Men and β-HCG-negative women were asked to provide stool for analysis. Baseline demographic and medical history were obtained from participants at the time of screening. Participants were provided with stool collection containers and instructions on specimen collection, and requested to collect stool within 6 h of the screening visit. Individuals with evidence of albendazole treatable pathogenic soil-transmitted helminths on microscopy were invited to be enrolled in the trial. Individuals with schistosomiasis or infection with Taenia species were treated with open-label praziquantel (and albendazole if co-infected with other helminths) and were not enrolled. Individuals with no evidence of helminth infection were counseled on basic hygiene and avoidance of helminth exposure and were not enrolled in the randomized trial.

Individuals with documented soil-transmitted helminth infections treatable with albendazole (Ascaris lumbricoides, hookworm species, or Trichuris trichiura) were invited to attend an additional informed consent session in which the aims and the procedures of the trial were reviewed. Individuals were enrolled in the trial after written informed consent. A medical or clinical officer performed baseline clinical examinations and collected additional clinical and demographic information on all participants at enrollment. Blood was collected for repeat HIV-1 serologic testing, measurement of CD4 lymphocytes and plasma HIV-1 RNA levels. Participants were randomly assigned to two groups using a 1:1 allocation.
Laboratory analysis
All randomized participants underwent repeat HIV-1 serological testing for HIV-1 using Determine rapid test qualitative immunoassay (Abbott, Japan). The CD4 lymphocyte count was determined using Multiset software on a FACSCalibur machine (Becton Dickinson, New Jersey, USA).

Plasma HIV-1 RNA was quantified using the Gen-Probe HIV-1 viral load assay, which has been shown to quantify the subtypes of HIV-1 prevalent in Kenya [8].

Each participant provided stool samples in a plain collection vial (AlphaTec, California, USA) and a vial containing preservative (Protocoll, AlphaTec). Each stool sample was processed and evaluated using wet preparation, Kato-Katz and formol-ether concentration techniques by an experienced laboratory technician. The presence of protozoa or helminth eggs was recorded and the burden of infection based on number of eggs per gram of stool was calculated according to WHO criteria [9].

Study outcomes
The primary study outcomes were CD4 cell counts and log_{10} plasma HIV-1 RNA levels in the two study arms. Secondary outcomes included CD4 cell counts and log_{10} plasma HIV-1 RNA levels in the two arms stratified by helminth species.

Statistical analysis
Based on an estimated difference in CD4 cell count of 40 cells/μl between the groups and using data from a previously accrued observational HIV-1 cohort in Kenya, we determined that 200 individuals were required to detect a difference of 40 cells/μl between the two study arms using a two-sided test, with an estimated standard deviation of 100 cells/μl, a power of 80% and a 5% type I error, allowing for 15% loss to follow-up [10]. This sample size also gave 90% power to detect a difference of 0.5 log_{10} copies/ml of plasma HIV-1 RNA between the groups using a two-sided test, assuming a standard deviation of 1.1 log_{10} copies/ml, and a 5% type I error.

Analyses were conducted with SPSS version 15 (Chicago, Illinois, USA). Following a data analysis plan developed prior to unblinding, a modified intent-to-treat analysis was conducted. Individuals who were found to be HIV-1 seronegative on repeat testing or who had initial CD4 cell counts of <200 cells/μl but whose CD4 cell count results were unavailable at randomization were excluded from analysis. Viral loads were log_{10} transformed before analysis. Baseline characteristics of the participants were compared between the two arms using chi-square tests for categorical variables and Student’s t-tests for normally distributed continuous variables.

Primary analyses were conducted to evaluate the effect of treatment on the follow-up CD4 cell count and log_{10} plasma HIV-1 RNA levels. Linear regression (analysis of covariance, ANCOVA) was performed adjusting for initial CD4 cell count for the CD4 cell count outcome, and log_{10} plasma HIV-1 RNA for the plasma HIV-1 RNA outcome [11]. Intent-to-treat analyses were repeated after stratifying by infecting helminth species. All individuals with a given helminth species (whether single or multiple species) were included in each stratified analysis and a separate analysis was conducted on those individuals with multiple species of helminth co-infection. Analysis of variance was conducted to determine the effect of interaction between each species of helminth on CD4 cell count outcomes.

Results
Study population
Between March 2006 and June 2007, we screened 1551 individuals for helminth co-infection. Of these individuals, a total of 299 (19.3%) were infected with at least one species of helminth. A total of 29 individuals (1.9%) had documented schistosomiasis infection, of which eight (27.6%) were also infected with other soil-transmitted
helminths. These individuals were not eligible for inclusion in the study, were provided open-label treatment, and were not enrolled. Two hundred and thirty-five individuals were eligible to participate in the study and 208 individuals were included in the final intent-to-treat analysis; 108 randomized to treatment and 100 to placebo (Fig. 1). The mean age of the participants was 34 years (range, 18–65 years) and 83.1% were female. There were no significant differences at enrollment between the randomization arms with regard to demographic or social characteristics (Table 1). At enrollment, the mean CD4 cell count was 557 cells/μl (SD, 273 cells/μl) and the mean plasma HIV-1 RNA level was 4.75 log₁₀ copies/ml (SD, 1.0 log₁₀ copies/ml). There were no significant differences between baseline CD4 cell counts or plasma HIV-1 RNA levels between the study arms in the intent-to-treat population or when stratified by species of infecting helminth (Table 2).

**Helminth infection**

Of the 208 individuals included in the analysis, 148 (71.2%) were infected with hookworm species, 54 (26.0%) with *A. lumbricoides* and 24 (11.5%) were infected with *T. trichiura*. Nineteen individuals (9.1%) were infected with more than one soil-transmitted helminth species. Almost all infections (96.2%) were classified as ‘light’ burdens based on WHO guidelines [9]. Two individuals with hookworm infection (1.4%) had moderate and two (1.4%) had heavy burdens of infection. There were three moderate *A. lumbricoides* infections

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**Fig. 1. Flow chart of study enrollment.**
burden of infection were infected with more than one helminth species.

Effect of treatment

CD4 cell counts

Treatment with albendazole was associated with a trend for higher CD4 cell counts at the 12-week follow-up visit when compared with placebo [±37 cells/µL, 95% confidence interval (CI), −4.6 to +78.7 cells/µL] (P = 0.08) (Table 3). There was a highly significant effect of treatment among individuals with *A. lumbricoides* co-infection: individuals with ascariasis who received albendazole had a mean CD4 cell count at follow-up that was 109 cells/µL higher than those who received placebo (95% CI, +38.9 to +179.0 cells/µL) (P = 0.003, Table 3). There were no significant differences between the treatment arms in follow-up CD4 cell count among those infected with hookworm, *T. trichiura*, or those with mixed infection. Albendazole treatment was significantly more beneficial among individuals with *A. lumbricoides* co-infection than among those with other helminth species (P = 0.04) (Fig. 2).

Plasma HIV-1 RNA levels

Among the 208 individuals included in the intent-to-treat analysis, there were no significant differences in log10 plasma HIV-1 RNA levels between the treatment groups (P = 0.42) (Table 3). There were no significant differences in the 12-week follow-up log10 plasma HIV-1 RNA levels between the treatment groups when stratified by the other infecting helminth species (Fig. 2). There was a trend for decrease in plasma HIV-1 RNA among individuals with *A. lumbricoides* co-infection who were treated with albendazole (−0.54 log10 copies/ml) (P = 0.09) (Table 3).

Helminth infection

Stool was provided for repeat analysis by 88 participants in the albendazole arm (81.5%) and by 80 participants in the placebo arm (80%) at the 12-week follow-up visit (P = 0.79). Individuals in the placebo arm were significantly more likely to have evidence of helminth infection at the 12-week follow-up visit than those in the albendazole arm (40.0% versus 21.6%, P = 0.01). Of eight individuals with moderate or heavy burdens of infection at baseline and who provided stool for analysis at the follow-up visit, three had been randomized to albendazole and five had been randomized to placebo. None of the three individuals in the albendazole group had evidence of infection at the 12-week visit, whereas two of those in the placebo group had detectable helminth infection at the follow-up visit.

Adverse events

There were no adverse events reported during the course of this study.
Discussion

The present study is the first randomized placebo-controlled study to determine effects of eradication of soil-transmitted helminths on markers of HIV-1 progression. It provides compelling evidence to suggest significant CD4 benefit of albendazole in *A. lumbricoides* -co-infected individuals. Previous observational studies on soil-transmitted helminth infection and HIV-1 have yielded inconsistent results and were limited in ability to control for confounding effects because of their observational design [12–16]. This study yields several important findings. First, a 3-day course of albendazole resulted in significantly higher CD4 cell counts in *A. lumbricoides*-infected individuals when compared with placebo. The direction of effect on plasma HIV-1 RNA levels in the *A. lumbricoides*-infected individuals was consistent with the CD4 findings. Benefits of albendazole were seen despite overall light intensities of helminth infection and despite the detection of helminths in some albendazole recipients following treatment, suggesting persistence or re-infection.

Our data provide evidence to support previous models suggesting that immune modulation due to helminth infection may affect HIV-1 progression, including effects on both CD4 cell counts and plasma HIV-1 RNA viral load in co-infected individuals [17,18]. Helminth infection leads to significant immune activation, which results in increased HIV-1 replication in both blood and lymphoid tissue and is a key correlate of HIV-1 disease progression [2,17,19–26]. In addition, chronic helminth infection is characterized by a dominant Th2 immune profile with subsequent reductions in HIV-1-specific cellular immune responses, which may decrease immune control of HIV-1 replication [2,17,27–30]. *A. lumbricoides* is significantly larger than other intestinal helminths and induces a more highly skewed Th2 response than do other helminth species [31–35]. In this clinical trial, treatment of *A. lumbricoides* co-infection resulted in significantly higher CD4 cell counts than placebo after 12 weeks of follow-up, perhaps suggesting that decreased cytotoxic T lymphocyte (CTL) responses due to helminth infection may contribute to the observed effect of *A. lumbricoides* treatment on CD4 cell count. The differences in effect between helminth species observed in this study are consistent with previous reports of species-specific differences in observational HIV-1-infected helminth-infected cohorts, specifically with treatment of *A. lumbricoides* and *Mansonella* species [12,14,16]. Further evaluations of the effects of individual species of helminths on markers of immune activation and HIV-1-specific immune responses are needed to clarify the mechanisms underlying this observation.

Table 3. Difference in CD4 cell counts and HIV-1 RNA levels in treatment arm vs. placebo arm.

<table>
<thead>
<tr>
<th></th>
<th>Average difference in CD4 cell count between albendazole and placebo groups at 12 weeks of follow-up (cells/μl)</th>
<th>95% CI</th>
<th>P value</th>
<th>Average difference in log₁₀ HIV-1 RNA between albendazole and placebo groups at 12 weeks of follow-up (log₁₀ copies/ml)</th>
<th>95% CI</th>
<th>P value</th>
</tr>
</thead>
<tbody>
<tr>
<td>All ITT individuals (N = 208)</td>
<td>+37.0</td>
<td>−4.6 to +78.7</td>
<td>0.08</td>
<td>−0.11</td>
<td>−0.37 to +0.15</td>
<td>0.42</td>
</tr>
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<td><em>Ascaris lumbricoides</em> (n = 54)</td>
<td>+109.0</td>
<td>+38.9 to +179.0</td>
<td>0.003</td>
<td>−0.54</td>
<td>−1.17 to +0.09</td>
<td>0.09</td>
</tr>
<tr>
<td><em>Hookworm</em> (n = 148)</td>
<td>+11.9</td>
<td>−36.6 to 60.3</td>
<td>0.63</td>
<td>+0.001</td>
<td>−0.30 to +0.30</td>
<td>1.00</td>
</tr>
<tr>
<td><em>Trichuris trichiura</em> (n = 24)</td>
<td>+35.6</td>
<td>−135.3 to +206.5</td>
<td>0.67</td>
<td>+0.31</td>
<td>−0.49 to +1.10</td>
<td>0.43</td>
</tr>
<tr>
<td>Mixed infection (n = 19)</td>
<td>+24.2</td>
<td>−124.0 to +172.5</td>
<td>0.73</td>
<td>−0.32</td>
<td>−1.68 to +1.03</td>
<td>0.62</td>
</tr>
</tbody>
</table>

CI, confidence interval.

![Fig. 2. Differences in 12-week follow-up CD4 cell counts and viral load.](image-url)
The principal strengths of this study were its randomized, double-blind, placebo-controlled design and the inclusion of multiple, geographically diverse sites in Kenya. The study used robust biologic markers of HIV-1 progression (CD4 cell counts and plasma HIV-1 RNA). Despite these strengths, there were several potential limitations of this study. Most individuals enrolled in this study (>96%) had low burdens of helminth infection based on WHO criteria. Intensity of helminth infection has been correlated with HIV-1 viral load [16]. Thus, it is possible that the low intensity of helminth infections resulted in less likelihood of detecting benefit from helminth eradication. The study was also limited by a relatively short duration of follow-up (3 months). However, deferring treatment of helminth-infected individuals for a longer time period may not be acceptable to participants.

Although the low worm burden in the cohort may have decreased the likelihood of detecting a treatment effect, the intensity of helminth infection in this cohort reflects the population dispersion of helminth infection among adults in Africa. In contrast to children, adults are typically infected with low worm burdens with most helminth species other than hookworm [36]. Demonstrating an effect in this low-intensity population suggests a more generalizable effect than a trial restricted to individuals with high worm burden. Low worm burden in the cohort is further evidenced by our observation that only 40% of individuals receiving placebo in this cohort had detectable helminth ova at follow-up, despite all of these individuals having detectable helminths at enrollment. This underscores the limitations of stool screening, which has low sensitivity for detection of low burdens of helminth infection [37]. It is therefore likely that our initial screening failed to detect individuals with low helminth burden who may have benefited from treatment. In addition, some of the individuals included in the study with hookworm or *T. trichiura* may have also harbored undetected *A. lumbricoides* infection. Empiric deworming of all HIV-1-infected individuals residing in helminth-endemic regions without stool screening is therefore an alternative strategy that deserves further study.

Our data suggest that treatment of helminth co-infection may be a practical and cost-effective intervention to delay disease progression in HIV-1-infected individuals in resource-limited settings. Studies conducted in Africa have estimated the average rate of CD4 decline between 20 and 30 cells/μl per year [38,39]. An increase in CD4 cell count as seen with treatment of *A. lumbricoides* in this study could potentially prolong the time to severe immunosuppression and need for antiretroviral medication by several years. A trend toward a 0.54 log_{10} copies/ml reduction in plasma HIV-1 RNA was seen with treatment of *A. lumbricoides* in this study. Modeling of the effect of a vaccine that reduced HIV-1 RNA suggests that a reduction in viral load of this magnitude would delay progression to AIDS by 3.5 years and slow the need for antiretroviral medications by almost a full year [40]. Current estimates suggest that as many as 1.5 billion people are infected with *A. lumbricoides*, predominately in areas of the world with substantial burdens of HIV-1 infection [41]. The public health and economic implications of such benefits are potentially enormous and warrant further investigation.

Other bacterial, viral and parasitic co-infections may have unique effects on host–immune and immune–HIV interactions and interventions to treat or prevent these infections may alter HIV-1 progression by different mechanisms. As evidence for the benefits of treating these various co-infections emerges, the role of combined interventions should also be assessed.

We have shown that treatment of *A. lumbricoides* is associated with significant improvements in CD4 cell counts and may potentially reduce plasma HIV-1 RNA viral load. Further clinical trials are needed to evaluate the long-term durability of the response to deworming in HIV-1-coinfected individuals and to assess whether empiric therapy of all HIV-1-infected individuals in helminth-endemic areas is warranted.

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There are no conflicts of interest.

References


